MERCE PCT/PTO 06 DEC 2005

| FORM PTO-1390 U.S. DEF (REV 10-94) | ARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER | | | | | | |
|--|--|---|--|--|--|--|--|--|
| TRANSMITTAL LETTER 7 | TO THE UNITED STATES | 10921.371USWO | | | | | | |
| DESIGNATED/ELECTE | D OFFICE (DO/EO/US) | | | | | | | |
| CONCERNING A FILING | , , | | | | | | | |
| • | | U.S. APPLICATION NO. (If known see 37 C.F.R. J.5) | | | | | | |
| | | Unknown 10/55949/ | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/JP2004/007261 | 27 May 2004 | 13 June 2003 | | | | | | |
| TITLE OF INVENTION | | | | | | | | |
| PROCESS FOR PRODUCING LIGHT-EMITTING | G DIODE ELEMENT EMITTING WHITE LIGHT | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | |
| FUJII | | | | | | | | |
| Applicant herewith submits to the United States De | signated/Elected Office (DO/EO/US) the following | items and other information: | | | | | | |
| | | | | | | | | |
| 1. [X] This is a FIRST submission of items cond | erning a filing under 35 U.S.C. 371. VT submission of items concerning a filing under 3. | 511 C 271 | | | | | | |
| | mination procedures (35 U.S.C. 371(f)) at any time | | | | | | | |
| examination until the expiration of the app | plicable time limit set in 35 U.S.C. 371(b) and PCT | Articles 22 and 39(I). | | | | | | |
| 4. [] A proper Demand for International Propertion [] | reliminary Examination was made by the 19th mon | th from the earliest claimed priority date. | | | | | | |
| 5. [X] A copy of the International Application as | filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| a. [X] is transmitted herewith (required | only if not transmitted by the International Bureau |). | | | | | | |
| b. [] has been transmitted by the Inter | | oo (DO(US) | | | | | | |
| c. []. is not required, as the application was filed in the United States Receiving Office (RO/US) 5. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | | | | | | |
| | . [Λ] Λ translation of the international Application into English (55 0.5.6. 31 f(c)(2)). | | | | | | | |
| | onal Application under PCT Article 19 (35 U.S.C. quired only if not transmitted by the International B | | | | | | | |
| a. [] are transmitted herewith (red b. [] have been transmitted by the | | ruicau). | | | | | | |
| c. [] have not been made; howev | er, the time limit for making such amendments has | NOT expired. | | | | | | |
| d. [X] have not been made and will not | be made. | | | | | | | |
| 8. [] A translation of the amendments to the | e claims under PCT Article 19 (35 U.S.C. 371(c)(3 | ł)). | | | | | | |
| 9. [X] A signed oath or declaration of the invento | or(s) (35 U.S.C. 371 (c)(4)). | | | | | | | |
| | ernational Preliminary Examination Report under l | PCT Article 36 | | | | | | |
| (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11. to 16. below concern document(s) or in: | formation included: | | | | | | | |
| 11. [X] An Information Disclosure Statement und | | | | | | | | |
| 12. [X] An assignment document for recording. A | separate cover sheet in compliance with 37 CFR 3 | .28 and 3.31 is included. | | | | | | |
| 13. [X] A FIRST preliminary amendment. | | | | | | | | |
| [] A SECOND of SUBSEQUENT preli | minary amendment. | | | | | | | |
| 14. [] A substitute specification. | | | | | | | | |
| 15. [] A change of power of attorney and/or | address letter. | | | | | | | |
| 16. [X] Other items or information: International | Publication Page, Verification of Translation, App | lication Data Sheet, Form PCT Request, Form | | | | | | |
| PCT/ISA/210, Form PCT/IB/304, 10 Sheets of For | mal Drawings | | | | | | | |

| | | | | | | | | |
|---|----------|-------------------|--------------------------|-----------------------------|--|----------|----|--|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | | | | | |
| Unknown 10/559497 PCT/JP2004/007261 | | | | 10921.371USWO | | | | |
| BASIC NATION | | | | ())· | | | | |
| IXI a) Basic Natio | nal fee | SE (57 CFR 1 | | · | \$300.00 | \$300.00 | | |
| 1 | | | | | | | | |
| [X] b) Examination fee\$200.00 | | | | \$200.00 | | | | |
| TVI a) Sparch fee | | | | | \$500.00 | \$500.00 | | |
| [X] c) Search fee\$500.00 | | | \$500.00 | | | | | |
| | | | | 21200 00 | ······································ | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1000.00 | | | | |
| Additional fee | for sp | ecification and o | drawings file | ed in paper over 100 sheet | ts (excluding | | | |
| sequence listir | ng or c | omputer progra | m listing file | ed in an electronic medium | | | | |
| \$250 for each | additio | onal 50 sheets o | f paper or fr | action thereof. | | | | |
| Total Sheets | Е | xtra Sheets | Number c | of each additional 50 or | Rate | | | |
| | | | fraction | thereof (round up to a | | | | |
| | | | ١ | whole number | | | | |
| 32 -100 = | 0 | /50 = | | | X \$250.00 | \$0.00 | | |
| | 1 00 fo | r furnishing the | ceth or decl | aration later than [] 20 [| 130 | \$0 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | |
| CLAIMS | | NUMBER | FILED | NUMBER EXTRA | RATE | | | |
| Total claims | | 4 | -20 = | 0 | X \$50.00 | \$0.00 | | |
| Independent claims $2 	 -3 = 0 	 X 	 200.00$ | | | | | \$0.00 | | | |
| MULTIPLE DEP | ENDE | NT CLAIM(S) | (if applicabl | .e) | + \$360.00 | \$ | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$1000.00 | | | |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed | | | is claimed | | | | | |
| pursuant to 37 CF | FR 1.2 | 7 | | | | \$ | | |
| SUBTOTAL = | | | | | \$1000.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 | | | | | | | | |
| months from the earliest claimed priority date (37 CFR 1.492(f). | | | \$ | | | | | |
| TOTAL NATIONAL FEE = | | | | | \$1000.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be | | | | | | | | |
| accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | \$40.00 | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$1040.00 | | | | |
| | | | Amount to be: | \$ | | | | |
| | | | | | refunded | L | | |
| | | | | | | charged | \$ | |
| a. [X] Check(s) |) in the | amount of \$10 | 00.00 and \$4 | 40.00 to cover the above | fees is enclosed. | | | |

b. [] Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. Mueller

Hamre, Schumann, Mueller & Larson, P.C.

P.O. Box 2902-0902

Minneapolis, MN 55402

SIGNATURE:

NAME: Douglas P (Mueller

REGISTRATION NUMBER: 30,300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TO 3 DEC 2005

Applicant:

FUJII

Docket:

10921.371USWO

Title:

PROCESS FOR PRODUCING LIGHT-EMITTING DIODE ELEMENT EMITTING

WHITE LIGHT

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV775294585US

Date of Deposit: 6 DECEMBER 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: Yuhge

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 18 pgs; Claims 3 pgs; Abstract 1 pg. The fee has been calculated as shown below in the 'Claims as Filed' table.
- 10 sheets of formal drawings
- A signed Combined Declaration and Power of Attorney
- Assignment of the invention to ROHM CO., LTD., Recordation Form Cover Sheet
- Checks in the amount of \$1000.00 to cover the Filing Fee and \$40.00 to cover the Assignment Recording Fee
- Information Disclosure Statement, Form 1449, 6 reference(s).
- Application Data Sheet, 3 pages.
- Other: International Publication Page, Copy of PCT/JP2004/007261 in Japanese, Verification of Translation, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/304, Preliminary Amendment, Form PTO-1390
- Return postcard

CLAIMS AS FILED

| Number of Claims Filed | No. | In Excess of | Extra | Rate | | Fee |
|-------------------------------|-----|--------------|-------|--------|---|-----------|
| , Total Claims | 4 | 20 | 0 | 50.00 | = | 0.00 |
| . Independent Claims | 2 | 3 | 0 | 200.00 | = | 0.00 |
| Multiple Dependent Claims Fee | | | | | = | 0.00 |
| Basic Filing Fee | | | | | = | 300.00 |
| Search Fee | | | | | = | 500.00 |
| Examination Fee | | | | | | 200.00 |
| Utility Application Size Fee | 32 | 100 | 0 | 250.00 | = | 0.00 |
| | | | | - | | |
| Total | | | | | | \$1000.00 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

By:

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No.:\30,300

Initials: DPM/hjm

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)